

**SVKM's Mithibai College of Arts, Chauhan Institute of Science
& Amrutben Jivanlal College of Commerce & Economics (AUTONOMOUS)**

Program: B. COM. (FINANCIAL MARKETS)				Semester: V	
Course: Technical Analysis				Course Code: UCMABFM502	
Teaching Scheme			Evaluation Scheme		
Lecture (Hours per week)	Practical (Hours per week)	Tutorial (Hours per week)	Credit	Continuous Assessment (CA) (Marks - 25)	Semester End Examinations (SEE) (Marks- 75 in Question Paper)
4			4	25	75
Learning Objectives:					
<u>To familiarize with the concept of Technical Analysis & Indicators and Oscillators</u> <u>To make students aware about the Major Theories in Technical Analysis, Risk Management, Trading Psychology and Trading Strategies</u>					
Course Outcomes:					
After completion of the course, learners would be able to:					
CO1: <u>Understand the concept of Technical Analysis & Indicators and Oscillators</u>					
CO2: <u>Understand the Major Theories in Technical Analysis, Risk Management, Trading Psychology and Trading Strategies.</u>					
CO3: _____					
Outline of Syllabus: (per session plan)					
Module	Description				No of Hours
1	Introduction to Technical Analysis				15
2	Major Indicators and Oscillators				15
3	Major Theories in TA				15
4	Risk Management, Trading Psychology and Trading Strategies				15
	Total				60
PRACTICALS					

PRACTICAL I

(If applicable)

Suggested Readings

1. Technical Analysis Explained; Martin J Pring; McGraw Hill
2. Handbook of Technical Analysis, Darell R Jobman; Probus

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Program: B. COM. (FINANCIAL MARKETS)				Semester: V	
Course: Wealth Management and Personal Financial Planning				Course Code: UCMABFM501	
Teaching Scheme				Evaluation Scheme	
Lecture (Hours per week)	Practical (Hours per week)	Tutori al (Hour s per week)	Credit	Continuous Assessment (CA) (Marks - 25)	Semester End Examinations (SEE) (Marks- 75 in Question Paper)
4			4	25	75
Learning Objectives:					
<u>To familiarize with the concept of Wealth Management & Wealth Management Strategies</u>					
<u>To make students aware about Financial Planning, Financial Mathematics, Retirement & Estate Planning</u>					
Course Outcomes:					
After completion of the course, learners would be able to:					
CO1: <u>Understand the Wealth Management & Wealth Management Strategies</u>					
CO2: <u>Understand the Financial Planning, Financial Mathematics, Retirement & Estate Planning</u>					
Outline of Syllabus: (per session plan)					
Module	Description				No of Hours
1	Introduction to Wealth Management				15
2	Wealth Management Strategy				15
3	Financial Planning & Financial Mathematics				15
4	Retirement & Estate Planning				15
	Total				60
PRACTICALS					

Suggested Readings

1. Fundamentals of Investment Management, Hirt and Block, Tata McGraw Hill. Ed 2009
2. Portfolio Management Handbook, Robert A. Strong, Jaico Publishing House, Mumbai

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Program: B. COM. (FINANCIAL MARKETS)				Semester: V	
Course: Derivatives & Commodities Market				Course Code: UCMABFM503	
Teaching Scheme			Evaluation Scheme		
Lecture (Hours per week)	Practical (Hours per week)	Tutori al (Hour s per week)	Credit	Continuous Assessment (CA) (Marks - 25)	Semester End Examinations (SEE) (Marks- 75 in Question Paper)
4			4	25	75
Learning Objectives: <u>To familiarize with the concept of Derivatives, Futures and options</u> <u>To make students aware about Pricing of Future Options,</u> <u>Trading Clearing and Settlement of Options and Futures.</u>					
Course Outcomes: After completion of the course, learners would be able to: CO1: <u>Understand the Derivatives, Futures and options</u> CO2: <u>Understand the Pricing of Future Options, Trading Clearing and Settlement of Options and Futures.</u>					
Outline of Syllabus: (per session plan)					
Module	Description				No of Hours
1	Introduction to Commodities Market and Derivatives Market				15
2	Futures and options- introduction				15
3	Pricing of Future Options				15
4	Trading Clearing and Settlement of Options and Futures				15
	Total				60
PRACTICALS					

Suggested Readings

1. FINANCIAL DERIVATIVES THEORY, CONCEPTS AND PROBLEMS Gupta S.L., PHI, Delhi
- 2. RISK MANAGEMENT & DERIVATIVES, Cengage Learning.

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Program: B. COM. (FINANCIAL MARKETS)				Semester: V	
Course: Equity Research				Course Code: UCMABFM506	
Teaching Scheme			Evaluation Scheme		
Lecture (Hours per week)	Practical (Hours per week)	Tutori al (Hour s per week)	Credit	Continuous Assessment (CA) (Marks - 25)	Semester End Examinations (SEE) (Marks- 75 in Question Paper)
4			4	25	75
Learning Objectives:					
<ul style="list-style-type: none"> • <u>To familiarize with the concept of Organisation Behaviour</u> • <u>To make students aware about Interpersonal relationships, Group Behaviour and Group Dynamics, Stress Management, Change and Team Building</u> 					
Course Outcomes:					
After completion of the course, learners would be able to:					
CO1: Understand Equity Research concepts					
CO2: Understand Fundamental analysis process including economic, industry and company analysis					
CO3: Understand different methods of valuation of equities					
Outline of Syllabus: (per session plan)					
Module	Description				No of Hours
1	Introduction to research analyst profession & fundamentals of research				10
2	Economic analysis & industry analysis				10
3	Company analysis – qualitative & quantitative, corporate actions, valuation principles				18
4	Fundamentals of risk and return & qualities of a good research report				10
	Total				
PRACTICALS					

Suggested Readings

1. An Introduction to Equity Markets- David Dasey
2. Financial Management, Khan & Jain, Tata McGraw Hill

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Program: B. COM. (FINANCIAL MARKETS)				Semester: V	
Course: Taxation I				Course Code: UCMABFM504	
Teaching Scheme			Evaluation Scheme		
Lecture (Hours per week)	Practical (Hours per week)	Tutori al (Hour s per week)	Credit	Continuous Assessment (CA) (Marks - 25)	Semester End Examinations (SEE) (Marks- 75 in Question Paper)
4			3	25	75
Learning Objectives:					
<ul style="list-style-type: none"> • <u>To understand the concept, principles and rules of taxation for individuals.</u> <u>To know taxable income and deductions under the Income Tax Act.</u> <u>To Compute the total taxable income</u> 					
Course Outcomes:					
After completion of the course, learners would be able to:					
CO1: <u>Learn the rules and procedures under the Income Tax Act applicable to individuals.</u>					
CO2: <u>Compute the income chargeable to tax under the various heads of income.</u>					
CO3: <u>Comprehend and apply the provisions governing deduction of tax at source from certain specified income and payments.</u>					
CO4: <u>Compute total taxable income and tax thereon.</u>					
CO5: <u>Acquaint knowledge of recent amendments in Income Tax</u>					
Outline of Syllabus: (per session plan)					
Module	Description				No of Hours
1	Definitions u/s – 2 , Basis of Charge and Exclusions from Total Income				15
2	Heads of Income I				15
3	Heads of Income II				15
4	Computation of Income and Tax of Individual and Provisions for Filing Return of Income				15
	Total				60
PRACTICALS					

Suggested Readings

- 1. Direct Taxes Ready Reckoner By Ravi Gupta
 - 2. Direct Tax including Tax Planning & Management A.Y 2020-21 By SP Goyal
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Program: B. COM. (FINANCIAL MARKETS)				Semester: V	
Course: Business Ethics & Corporate Governance				Course Code: UCMABFM505	
Teaching Scheme			Evaluation Scheme		
Lecture (Hours per week)	Practical (Hours per week)	Tutori al (Hour s per week)	Credit	Continuous Assessment (CA) (Marks - 25)	Semester End Examinations (SEE) (Marks- 75 in Question Paper)
4			3	25	75
Learning Objectives:					
<ul style="list-style-type: none"> • <u>To familiarize with the concept of Ethics and Business Ethics, Ethics in Marketing, Finance and HRM</u> <u>To make students aware about Corporate Governance & CSR</u> 					
Course Outcomes:					
After completion of the course, learners would be able to:					
CO1: <u>Understand the Ethics and Business Ethics, Ethics in Marketing, Finance and HRM</u>					
CO2: <u>Understand the Corporate Governance & CSR</u>					
CO3: _____					
Outline of Syllabus: (per session plan)					
Module	Description				No of Hours
1	Introduction to Ethics and Business Ethics				15
2	Ethics in Marketing, Finance and HRM				15
3	Corporate Governance				15
4	Corporate Social Responsibility (CSR)				15
	Total				
PRACTICALS					

Suggested Readings

1. Entrepreneurship, Finance, Governance and Ethics: 3 (Advances in Business Ethics Research) by Robert Cressy
2. Padhuka's Law, Ethics and Communication – by CA G. Sekar & CA B. SaravanaPrasath

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Program: B. COM. (FINANCIAL MARKETS)				Semester: VI	
Course: Risk Management				Course Code: UCMABFM601	
Teaching Scheme				Evaluation Scheme	
Lecture (Hours per week)	Practical (Hours per week)	Tutorial (Hours per week)	Credit	Continuous Assessment (CA) (Marks - 25)	Semester End Examinations (SEE) (Marks- 75 in Question Paper)
4			4	25	75
Learning Objectives: <u>To familiarize with the concept of Risk Management & Evaluation of Risk</u> <u>To make students aware about Foreign Exchange Risk & Exchange Rate Risk</u>					
Course Outcomes: After completion of the course, learners would be able to: CO1: <u>Understand the Risk Management & Evaluation of Risk</u> CO2: <u>Understand the Foreign Exchange Risk & Exchange Rate Risk</u> CO3: _____					
Outline of Syllabus: (per session plan)					
Module	Description				No of Hours
1	Introduction to Risk Management				15
2	Evaluation of Risk				15
3	Foreign Exchange Risk				15
4	Exchange Rate Risk				15
	Total				60
PRACTICALS					

Suggested Readings

1. Risk Management – Concepts and Guidance: Carl Pritchard: CRC Press
2. Risk Management: Prof C K Roy: Vayu Education.

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Program: B. COM. (FINANCIAL MARKETS)				Semester: VI	
Course: Venture Capital And Private Equity				Course Code: UCMABFM602	
Teaching Scheme			Evaluation Scheme		
Lecture (Hours per week)	Practical (Hours per week)	Tutorial (Hours per week)	Credit	Continuous Assessment (CA) (Marks - 25)	Semester End Examinations (SEE) (Marks- 75 in Question Paper)
4			4	25	75
Learning Objectives: <u>To familiarize with the concept of understanding of Venture Capital and Private Equity, Structure and Valuation approaches</u> <u>To make students aware about Strategies of Private Equity & Exit strategies for Private Equity</u>					
Course Outcomes: After completion of the course, learners would be able to: CO1: <u>Understand the of understanding of Venture Capital and Private Equity, Structure and Valuation approaches</u> CO2: <u>Understand the Strategies of Private Equity & Exit strategies for Private Equity</u> CO3: _____					
Outline of Syllabus: (per session plan)					
Module	Description				No of Hours
1	Conceptual understanding of Venture Capital and Private Equity				15
2	Structure and Valuation approaches				15
3	Strategies of Private Equity				15
4	Exit strategies for Private Equity				15
	Total				60
PRACTICALS					

Suggested Readings

1. Guide to Private Equity : CA Neha Bhuvania: Taxmann
2. The Business of Venture Capital: Mahendra Ransinghani: Wiley Finance

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Program: B. COM. (FINANCIAL MARKETS)				Semester: VI	
Course: Mutual Fund Management				Course Code: UCMABFM603	
Teaching Scheme			Evaluation Scheme		
Lecture (Hours per week)	Practical (Hours per week)	Tutorial (Hours per week)	Credit	Continuous Assessment (CA) (Marks - 25)	Semester End Examinations (SEE) (Marks- 75 in Question Paper)
4			4	25	75
Learning Objectives:					
<ul style="list-style-type: none"> • <u>To familiarize with the concept of Mutual Fund Organization, Management & Mutual Fund Products</u> <u>To make students aware about Investment and Performance Measurement & Accounting and Taxation of Mutual Funds</u> 					
Course Outcomes:					
After completion of the course, learners would be able to:					
CO1: <u>Understand the Mutual Fund Organization, Management & Mutual Fund Products</u>					
CO2: <u>Understand the Investment and Performance Measurement & Accounting and Taxation of Mutual Funds</u>					
CO3: _____					
Outline of Syllabus: (per session plan)					
Module	Description				No of Hours
1	Mutual Fund Organization and Management				15
2	Mutual Fund Products				15
3	Investment and Performance Measurement				15
4	Accounting and Taxation of Mutual Funds				15
	Total				60
PRACTICALS					

Suggested Readings

1. Indian Mutual Funds Handbook :Sundar Sankaran: Vision Books.
2. .Mutual Funds in India: Amitabh Gupta: Anmol Publications

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Program: B. COM. (FINANCIAL MARKETS)				Semester: VI	
Course: Strategic Corporate Finance				Course Code: UCMABFM604	
Teaching Scheme				Evaluation Scheme	
Lecture (Hours per week)	Practical (Hours per week)	Tutorial (Hours per week)	Credit	Continuous Assessment (CA) (Marks - 25)	Semester End Examinations (SEE) (Marks- 75 in Question Paper)
4			4	25	75
Learning Objectives: <u>To familiarize with the concept of Strategic Corporate Finance & Fund raising</u> <u>To make students aware about Company Valuation & Credit Risk Management</u>					
Course Outcomes: After completion of the course, learners would be able to: CO1: <u>Understand the Strategic Corporate Finance & Fund raising</u> CO2: <u>Understand the Company Valuation & Credit Risk Management</u> CO3: _____					
Outline of Syllabus: (per session plan)					
Module	Description				No of Hours
1	Strategic Corporate Finance				15
2	Fund raising				15
3	Company Valuation				15
4	Credit Risk Management				15
	Total				60
PRACTICALS					

Suggested Readings

1. Strategic Corporate Finance :Pratap G Subramanyam: Snow White Publication
2. Strategic Corporate Finance : Jayant Varma : Vision Books

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Program: B. COM. (FINANCIAL MARKETS)				Semester: VI	
Course: Taxation II				Course Code: UCMABFM605	
Teaching Scheme			Evaluation Scheme		
Lecture (Hours per week)	Practical (Hours per week)	Tutorial (Hours per week)	Credit	Continuous Assessment (CA) (Marks - 25)	Semester End Examinations (SEE) (Marks- 75 in Question Paper)
4			3	25	75
Learning Objectives:					
<u>To understand basics of GST</u>					
<u>To learn about GST Registration procedure</u>					
<u>To know GST payment procedure along with interest and penal provisions</u>					
<u>To understand specific provisions of Integrated Goods and Service Tax Act, 2017.</u>					
Course Outcomes:					
After completion of the course, learners would be able to:					
CO1: <u>Existing system in relation to GST</u>					
CO2: <u>GST Registration procedure and other provisions</u>					
CO3: <u>GST payment and return filing procedure along with computation of interest and penalty</u>					
CO4: <u>Specific provisions of Integrated Goods and Service Tax Act, 2017.</u>					
CO5: _____					
Outline of Syllabus: (per session plan)					
Module	Description				No of Hours
1	Overview of Goods and Service Tax and Registration Under GST				10
2	Time, Place and Value of supply of goods or services or both and Payment of GST				20
3	Refunds, Returns, Accounts, Audit, Assessment and Records				15
4	Provisions of Customs and Securities Transaction Tax				15
	Total				60
PRACTICALS					

Suggested Readings

1. Taxman's GST Act with Rules and Forms
2. Student's Guide to Income Tax including GST- Taxman

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Program: B. COM. (FINANCIAL MARKETS)				Semester: VI	
Course: Corporate Restructuring				Course Code: UCMABFM606	
Teaching Scheme			Evaluation Scheme		
Lecture (Hours per week)	Practical (Hours per week)	Tutorial (Hours per week)	Credit	Continuous Assessment (CA) (Marks - 25)	Semester End Examinations (SEE) (Marks- 75 in Question Paper)
4			3	25	75
Learning Objectives: <u>The objective of this course is to familiarize the participants with the stock markets of India, its terminology, types of securities</u> <u>To familiarize with the concept of Equity Market & Valuation of Equities</u>					
Course Outcomes: After completion of the course, learners would be able to: CO1: <u>Understand the concept of Equity Market & Valuation of Equities</u> CO2: <u>Understand the Ratio analysis and interpretation</u> CO3: _____					
Outline of Syllabus: (per session plan)					
Module	Description				No of Hours
1	Introduction and Concepts				15
2	Merger and Amalgamation, Corporate Demerger and Reverse Merger				15
3	Funding of Merger and Takeover and Financial Restructuring				15
4	Post-Merger Reorganization				15
	Total				60
PRACTICALS					

Suggested Readings

1. Mergers, Acquisition and Corporate Restructuring: Prasad G Godbole :Vikas Publishing
2. Handbook on Mergers, amalgamation and take overs : ICSI